

DNA Oligonucleotide–*cis*-Platin Binding: Ab Initio Interpretation of the Vibrational Spectra

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The *cis*-platin binding to the d(CCTGGTCC)*d(GGACCAGG) model DNA octamer was monitored with infrared absorption (IR) and vibrational circular dichroism (VCD) spectroscopies. The spectra were modeled with the aid of density functional computations and a Cartesian coordinate-based transfer of molecular property tensors from smaller DNA fragments. Because of the fragmentation, the tensors could be calculated with a higher precision. Environmental effects, such as the presence of the solvent or the *cis*-platin ligand, could be included in the modeling. The solvent was modeled by an explicit inclusion of hydrogen-bound water molecules, positions of which were estimated from a molecular dynamics simulation, or by the polarized continuum COSMO model. The B3LYP and BPW91 functionals used for the calculations of the spectral parameters were combined with the relativistic LANL2DZ platinum pseudo-potentials. The simulations reproduced the main IR and VCD DNA spectral features and explained most of the changes observed experimentally upon metal binding. The results confirmed that the influence of the ligand on DNA vibrational properties is quite complex; it originates in the geometry deformation and normal mode coupling pattern changes of the platinated octamer, as well as in local perturbations of the electronic structure and force field of the GC base pairs to which the platinum is bound. Many of the local effects could be accounted for by a point charge used in place of the metal in the GC complex.

Introduction

Vibrational spectroscopy is one of the major techniques used for structural studies of biologically relevant molecules. Unlike X-ray or NMR, it is less restricted by the size of studied systems, and the experimental conditions can be varied significantly.^{1,2} Moreover, vibrational optical activity techniques, such as vibrational circular dichroism (VCD) and Raman optical activity (ROA), make the structural information encoded in the spectra more apparent and add chiral and conformational sensitivity to the unpolarized techniques.^{3–6} Thus, more detailed information about the structure of many peptides, proteins, and nucleic acids could be deciphered in the past.^{7–11}

However, due to the inhomogeneous broadening of vibrational bands, their overlap, and the coupling of many motions in bigger systems, it is often difficult to assign vibrational spectral features to certain transitions and structural features unambiguously. Today, the assignment process is inseparable from computer modeling and theoretical spectral simulations. The advances in computer technology and theoretical apparatuses,¹² particularly density functional theory (DFT),^{13,14} provided the means for shedding light on the nature and origin of individual spectral bands. Particularly, the link between the vibrational spectral shape and the molecular structure could be studied more systematically.^{4,15,16}

For nucleic acids and similar large molecular systems that cannot be directly subjected to accurate simulations, approximate computational schemes are available, such as the DeVoe

polarizability theory¹⁷ and other modifications of the coupled oscillator/dipole coupling models.^{17–22} However, these simulations often depend on ad hoc or empirical parameters, and their application especially for systems of an unusual structure, such as the DNA–*cis*-platin complexes, is not reliable.²³ Therefore, combined quantum mechanic/molecular mechanics (QM/MM) schemes pursued in this study appear as the most profitable, due to their flexibility and at least the theoretical possibility of improving systematically the accuracy up to the quantum limit.²⁴

Particularly, we attempted to explain changes in the vibrational properties of a DNA model octamer upon formation of a complex with *cis*-diamminedichloroplatinum(II) (*cis*-platin or *cis*-Pt). This compound is a well-known anticancer drug that binds to DNA in the living cell and thus inhibits replication.^{25,26} NMR studies of a metalated DNA duplex suggest that the platinum binds to the N7 site of two neighboring guanines in the GG sequence.^{27,28} The binding significantly perturbs the regular DNA geometry. The parallel stacking of the guanine bases is destroyed, and the double helix bends toward the major groove at the platination site. The distortions of the DNA geometry predicted by NMR studies were also detected by IR and VCD spectroscopy.²⁹ Thus, a reliable theoretical model used as a basis for the peak assignments and explanation of the spectral alterations is needed.

Ab initio simulations of the VCD spectra within the harmonic approximation are relatively straightforward for rigid and small molecules. Conveniently, the magnetic field perturbation theory (MFP) of Stephens^{30,31} combined with DFT and field-dependent atomic orbitals³² can be applied, as implemented, for example, in the Gaussian program package.³³ The size and conformational flexibility of the DNA octamer, however, hinder the direct

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modeling. The VCD signal arises mainly from the dipolar interaction between two stacked base pairs and analogous interactions of the phosphate and sugar residues.^{34,35} Thus, the basic unit determining the basic VCD pattern is composed of two stacked base pairs including two sugar-phosphate pairs. Modeling with suitably chosen fragments preserving these interactions can then provide enough information on the spectral response of longer nucleic acid segments. Many distinct spectral features can be assigned to localized chromophores.^{34,36} In addition to the computations on smaller fragments, the Cartesian tensor transfer technique²⁴ can be used for a reproduction of the vibrational behavior of larger molecules, in the present case of the whole DNA octamer. Previously, this approach was successfully used for the simulation of IR and VCD spectra of peptides and regular DNA and RNA oligomers.³⁶⁻⁴⁰ In this study, we also applied it to the irregular octamer geometry, deformed by metal binding and hydration.

It is true that the density functional methods were not found to be ideal for a description of the dispersion forces and the stacking of the DNA and RNA basis.⁴¹ However, this should not affect our method of simulation of the spectra because the geometry is derived from experiment or largely empirical molecular dynamic (MD) force fields. By the normal mode method,⁴² the structure is not significantly changed even if it is relaxed to obtain the vibrational spectra. The stacking forces might participate in the inter-base coupling important for the spectra, but the IR and VCD pattern is predominantly determined by the dipole-dipole interactions²¹ reproduced by DFT correctly.

Materials and Methods

Experiment. The IR absorption and VCD spectra of free and platinated octamers were measured and published by Tsankov et al.²⁹ previously. Therefore, we review the experimental conditions only briefly. Desalted single-stranded d(CCTGGTCC) and d(GGACCAGG) complementary oligonucleotides were obtained from the University of Calgary Core DNA Services. The Pt complex preparation was achieved with a usual protocol.^{28,43} All solutions were prepared in a buffer containing 40 mM cacodylate and 60 mM NaCl, pD = 6.25. Complete deuterium exchange was achieved by lyophilizing and resolving all solutions 3 times in D₂O. The samples were placed between two BaF₂ windows in a demountable cell (International Crystal Laboratories, Inc.) separated by a 45 μm Teflon spacer. The final duplex oligonucleotide concentration in the cell was 15 mM.

All infrared absorption and VCD spectra were measured in D₂O within 800–1800 cm⁻¹ with an instrument built at the University of Calgary.⁴⁴ A total of 7500 ac scans (about 3.5 h scanning time) were accumulated for each sample and rationed against 500 dc scans, all at 4 cm⁻¹ resolution. The VCD spectra were corrected for polarization artifacts by subtracting the spectra of the solvent obtained at the same conditions.

Calculations. Four macromolecular systems were simulated: (1) the standard DNA geometry of the non-platinated octamer duplex, d(CCTGGTCC)*d(GGACCAGG), which was generated using the Biosym software (Figure 1a).⁴⁵ (2) A hydrated octamer geometry including the water positions was generated with the Tinker program.⁴⁶ The octamer was soaked in a 10 Å water shell with Na⁺ counterions, optimized, and subjected to 10 000 steps (1fs step, 298 K, pressure of 1 atm) of MD equilibration. In the spectral simulations, only the water molecules hydrogen bonded to DNA were considered to be taken from the last instantaneous MD configuration (Figure 1b).

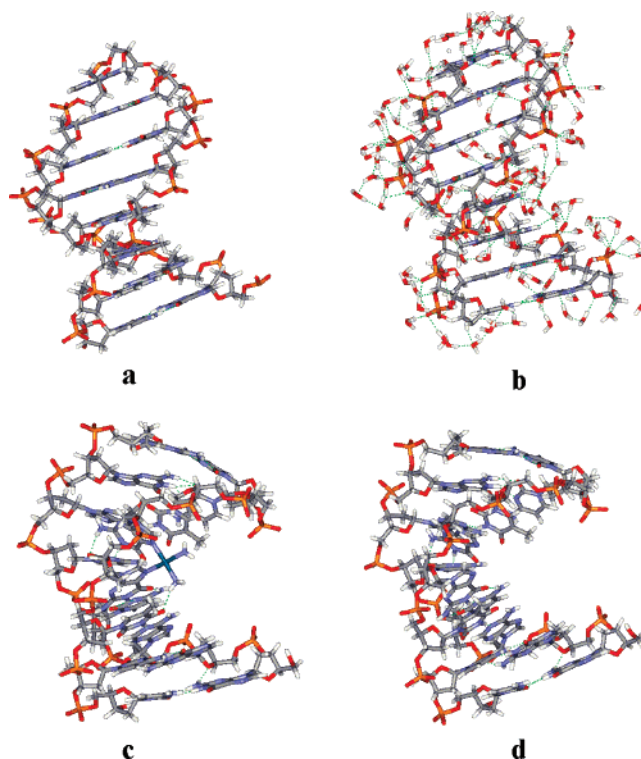


Figure 1. d(CCTGGTCC)*d(GGACCAGG) octamer geometries used in the calculations: (a) in vacuum, (b) explicitly hydrated by H-bound water molecules, (c) in the platinated complex, and (d) the distorted complex geometry without *cis*-Pt.

For the platinated octamer d(CCTG[^]GTCC)*d(GGACCAGG), the NMR geometry was used ("[^]" indicates the *cis*-Pt binding site)²⁷ with (3) (Figure 1c) and without (4) (Figure 1d) the bound *cis*-platin.

The non-platinated octamer (1) force field was obtained from DFT computations performed on eight smaller fragments: the sugar-phosphate link and seven stacked base pairs, CC*GG, CT*GA, TG*AC, GG*CC, GT*CA, TC*AG, and CC*GG (Figure 2). All sequences are indicated in the 5'–3' direction. The irregular hydrated non-platinated octamer (2), however, had to be separated into 21 fragments including the hydrogen-bound waters. Similarly, the distorted platinated octamers (3 and 4) had to be separated into 21 fragments because the 14 sugar-phosphate links could not be propagated periodically. The distorted seven base pairs can be seen in Figure 3. The MCM graphical program was used for the fragmentation.⁴⁷ To distinguish the spectral changes induced by the Pt²⁺ ion itself from those induced solely by the octamer geometry distortion, the Pt²⁺ ion was removed in the octamer (4), but its geometry was preserved (Figure 1d). Similarly, the fragments involved in this modification were calculated without the Pt²⁺ ion.

The force field and intensity tensors³⁰⁻³² of the octanucleotides were constructed from the smaller fragments using the Cartesian coordinate transfer technique.²⁴ Ab initio computations were performed with the aid of the Gaussian program.³³ The geometries of the smaller fragments were partially relaxed with the aid of the normal mode optimization method.^{42,48} Normal modes with frequencies below 300 cm⁻¹ were frozen, while the modes in the experimentally accessible region could relax. For the optimized fragments, harmonic force fields, dipole derivatives, and atomic axial tensors were calculated with the Gaussian program³³ and transferred²⁴ to the octamers. By default, the DFT BPW91^{49,50}/6-31G** level was used because of our previous good experience with this functional providing

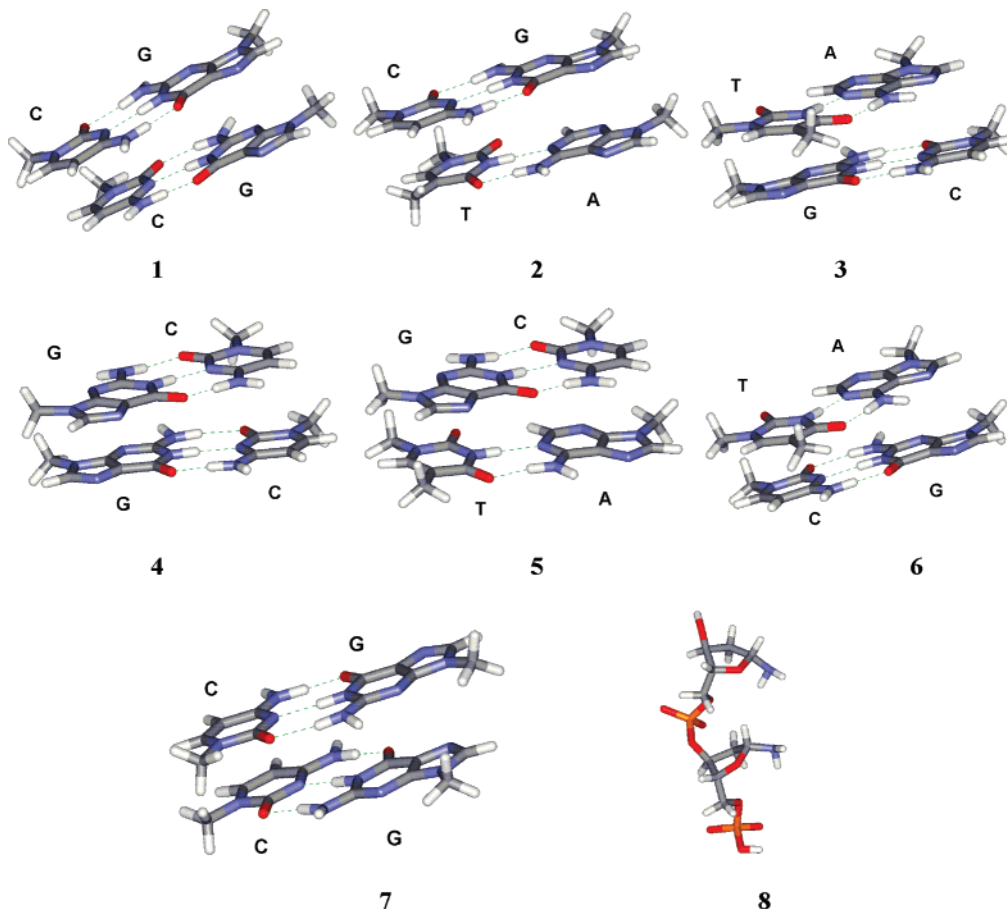


Figure 2. Octamer fragments used in the calculations: (1) CC*GG, (2) CT*GA, (3) TG*AC, (4) GG*CC, (5) GT*CA, (6) TC*AG, and (7) CC*GG dimers and (8) the sugar–phosphate dimer.

reasonably accurate vibrational frequencies with a favorable computational cost.^{36,38,39,51} The LANL2DZ pseudo-potential⁵² and basis were used for the Pt atom. In addition to the vacuum computations, vibrational properties of smaller systems were modeled with the B3LYP⁵³ functional and the Gaussian version (CPCM) of the COSMO⁵⁴ solvent model. The investigated systems and relevant approximations are summarized in Table 1.

Harmonic vibrational frequencies were calculated by the force field diagonalization⁵⁵ for deuterated species where the acidic hydrogens (in the N–H and O–H groups) were replaced by deuteria. This corresponds to the experimental conditions where the heavy water is used as a solvent to avoid an interference of the H–O–H bending vibration with the DNA base modes around 1650 cm^{-1} .²⁹ After an assignment of the vibrational transitions, the DNA octamer spectra were generated again with scaled fragment force fields. The scaled fields were calculated as $\mathbf{F}' = \mathbf{S}'\omega\mathbf{S}$, where the Cartesian-normal mode transformation matrix⁵⁵ \mathbf{S} was obtained with the Gaussian field, and the diagonal matrix ω contained experimentally assigned or interpolated frequencies (Tables 2 and 3). Lorentzian peaks with a full width at half-height of 5 cm^{-1} were used for generation of the simulated spectra from the calculated frequencies and intensities.

Results and Discussion

Platinum Binding to Guanidine and GC Pair. As can be seen in Figure 4, the metal binding significantly changes the IR spectra of the nitrogen bases in the 1500–1700 cm^{-1} region. The calculated spectrum of a single guanine (B3LYP/

CPCM/6-31+G**) (Figure 4, top trace) is primarily comprised of two absorption bands at 1677 cm^{-1} (mostly C=O stretching) and 1573 cm^{-1} (C=N and C=C ring stretching modes). A shoulder at 1591 cm^{-1} of the latter peak originates from a C=N ring stretch. The computations are thus in agreement with the experimental assignments of the main guanine vibrations.^{56–59} The binding of *cis*-Pt to the N7 site of guanine makes the carbonyl bond slightly stronger and shifts it up to 1694 cm^{-1} . The ring mode signal at 1591 cm^{-1} shifts up to 1604 cm^{-1} and significantly increases in intensity at the expense of the 1573 cm^{-1} vibration, the intensity of which decays and shifts to lower wavenumbers at 1564 cm^{-1} . These spectral changes correspond to those observed upon complexation of guanine with other metal ions (e.g., Cu^{2+}).⁵⁶

The GC base pair spectrum is more complex, with a highest cytosine C=C stretching band at 1679 cm^{-1} , followed by the guanine (1663 cm^{-1}) and cytosine (1632 cm^{-1}) carbonyl stretching vibrations (Figure 4). In the spectra of the non-deuterated GC pair, the C=C band downshifts below the carbonyl vibrations (data not shown). Thus, one should be careful when correlating experimental spectra of deuterated and non-deuterated samples. The band at 1591 cm^{-1} arises from C=N ring vibrations of guanine, and the bands at 1575 and 1562 cm^{-1} arise mostly from both C=N and C=C ring stretching with the participation of other parts of guanine. Two lower wavenumber bands at 1547 and 1520 cm^{-1} originate from the C=N and C=C ring stretching of cytosine. These assignments are also in good agreement with the experimental data.^{57–59}

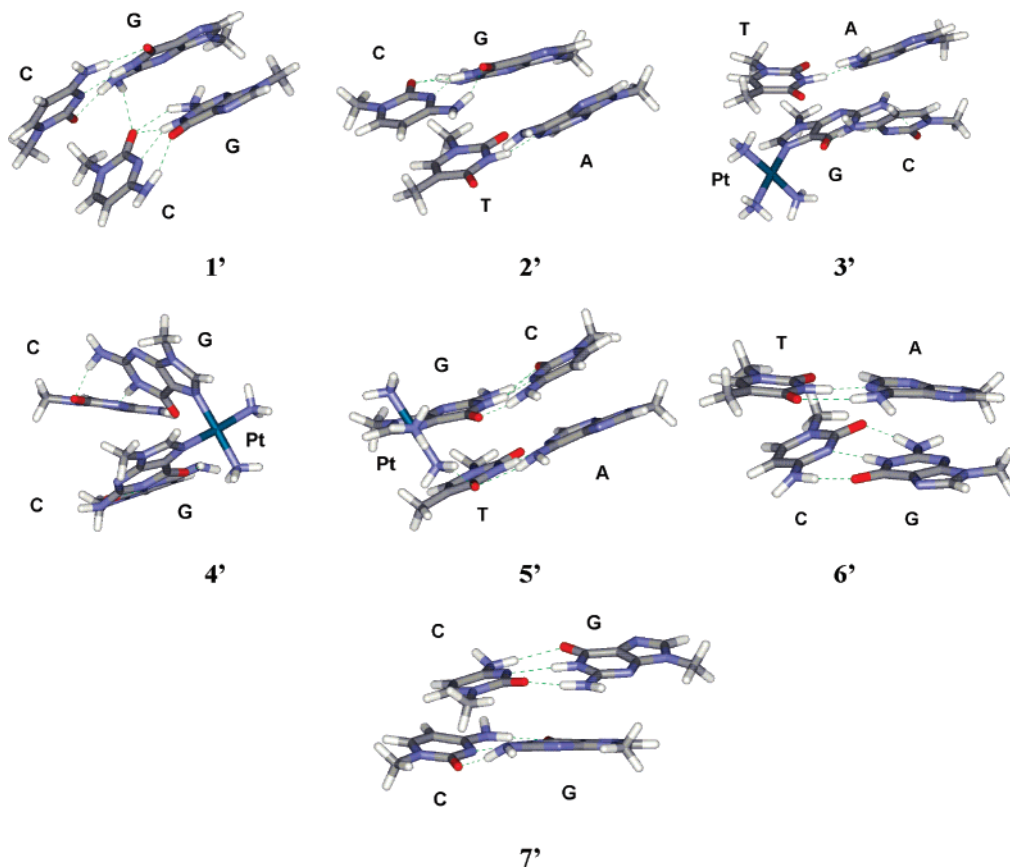


Figure 3. Distorted base pair fragments from the platinated octamer: (1') CC*GG, (2') CT*GA, (3') TG-*cis*-Pt*AC, (4') G-*cis*-Pt-G*CC, (5') *cis*-Pt-GT*CA, (6') TC*AG, and (7') CC*GG.

TABLE 1: Fragments Used in the Computations

system	solvent	functional and basis
G	CPCM	B3LYP/6-31+G**
G–Pt	CPCM	B3LYP/6-31+G**
G···C	CPCM	B3LYP/6-31+G**, BPW91/6-31+G**
(G···C)–Pt	CPCM	B3LYP/6-31+G**
(G···C) + charges	CPCM	B3LYP/6-31+G**
d(CCTGGTCC)*d(GGACCAGG) regular fragments: base pairs CC*GG, CT*GA, TG*AC, GG*CC, GT*CA, TC*AG, and CC*GG; sugar–phosphate dimer	vacuum	BPW91/6-31G**
21 irregular fragments of (CCTGGTCC)*d(GGACCAGG) (CCTG^GTCC)*d(GGACCAGG) fragments: base pairs CC*GG, CT*GA, TG–Pt*AC, G–Pt–G*CC, Pt– GT*CA, TC*AG, and CC*GG; 14 sugar–phosphate dimers (+analogous fragments without Pt)	explicit H-bound water vacuum	BPW91/6-31G** BPW91/6-31G**

Upon *cis*-Pt binding, the carbonyl vibrations of guanine and the ring vibrations of cytosine do not change significantly, while the ring vibrations of guanine exhibit the largest modifications. Similar to the single guanine base, the relative intensities of the two bands (1591 and 1575 cm^{-1}) change, as the former (1587 cm^{-1} in the G···C–Pt complex) gains and the latter (1574 cm^{-1}) loses intensity. Also, these changes are well-concomitant with the experimental data obtained for other metal ions, suggesting a similar influence of the metal on the vibrational properties of the guanine base.²⁶ Interestingly, while the guanine carbonyl band displays only minor changes upon *cis*-Pt binding, the carbonyl band of cytosine increases in intensity and shifts substantially ($1632 \rightarrow 1611\text{ cm}^{-1}$). The normal mode displacement of the latter vibration for the free and platinated C–G pairs is plotted at the right side of Figure 4. It is apparent that the platinum binding causes a larger coupling of the cytosine carbonyl stretch and a guanine ring deformation. The direction

of the transition dipole moment (red line in Figure 4) changes by about 20° . The effect of the metal–DNA complexation can thus be amplified by the hydrogen binding of the bases and a coupling of nearly degenerate vibrations.

In an attempt to estimate a purely electrostatic effect of *cis*-Pt binding on the GC base pair, we replaced the Pt^{2+} and Cl^- ions by corresponding charges ($+2e$ and $-e$) and calculated the vibrational properties without further modification of the geometry. Interestingly, the resulting spectrum (Figure 4, bottom trace) exhibits many of the spectral changes observed for the fully platinated base pair. For example, the carbonyl modes are not significantly changed, while the guanine ring mode (1582 and 1562 cm^{-1}) relative intensities change in the same direction as for the metalated complex. A new C=C stretching band of guanine appears at the highest wavenumber at 1686 cm^{-1} . Thus, the electrostatic influence and geometry change can explain many of the spectral changes observed upon binding metal ions

TABLE 2: Calculated (BPW91/6-31G) and Experimental Frequencies (cm⁻¹) of Most Intense Spectral Bands of the d(CCTGGTCC)*d(GGACCAGG) Octamer**

calcd ^a (vacuum)		calcd ^a (explicit water)		exptl ²⁹		assignment ^b
abs	VCD	abs	VCD	abs	VCD	
1746	1746(-)	1742	1743(-)/1734(+)	1691	1718(+)/1682(-)	$\nu(\text{C}=\text{O}2)$ T
1710	1714(-)/1707(+)	1729, 1713	1730(-)/1716(+)	1666	1671(-)/1647(+)	$\nu(\text{C}=\text{O}6)$ G
	1688(+)	1689	1688(+)	1666	1671(-)/1647(+)	$\nu(\text{C}=\text{O}6)$ G, $\nu(\text{C}=\text{O}2)$ C
1676	1678(-)/1670(+)	1665	1663(+)	1666	1671(-)/1647(+)	$\nu(\text{C}=\text{O}6)$ G, $\nu(\text{C}=\text{O}2)$ C, $\nu(\text{C}=\text{O}4)$ T
1648	1649(-)	1654	1653(-)	1666	1671(-)/1647(+)	$\nu(\text{C}=\text{O}2)$, $\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ C
1618	1615(-)	1628		1618		$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ A
1577	1575(-)/1567(+)	1608, 1593, 1581	1607(-), 1598(-)/1580(+), 1580(+)	1582	1585(-)/1562(+)	$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ G
1567s	1575(-)/1567(+)	1568	1566(+)	1562s	1585(-)/1562(+)	$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ A, G
1541	1539(+)	1541	1542(-)	1531	1540(+)/1525(-)	$\nu(\text{C}=\text{N})$, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ C
1528	1527(-)	1527		1531	1540(+)/1525(-)	$\nu(\text{C}=\text{N})$, $\delta(\text{C}-\text{H})$ G
1490	1490(+)	1499	1506(-)/1495(+)	1502	1511(-)/1494(+)	$\nu(\text{C}=\text{N})$, $\delta(\text{C}-\text{H})$ C
1121				1104s		sugar def.
1090/1079	1091(-)/1076(+)	1083	1087(-)/1077(+)	1085	1089(-)/1072(+)	$\nu(\text{PO}_2^-)$, <i>symm.</i> , sugar
1056	1059(+)/1050(-)	1063	1068(-)/1055(+)	1062		$\nu(\text{C}-\text{O})$, O-D bend, sugar
1026	1030(-)/1023(+)	1018	1017(+)	1016		O-D bend, sugar
981	983(-)/974(+)	1005	1005(-)	971		O-D bend, sugar
941	941(-)	929	939(+)/927(-)			delocalized modes
910	912(-)/905(+)		916(-)/906(+)			
890	891(-)	901	905(+)/900(-)	895		
850	850(-)	867	867(-)	864		

^a Calculated frequencies and normal mode assignments are based on unscaled BPW91/6-31G** force field. ^b ν : Stretching vibration; δ : bending vibration; and s: shoulder.

TABLE 3: Calculated (BPW91/6-31G) and Experimental Frequencies (cm⁻¹) of the Most Intense Spectral Bands of the Platinated d(CCTG^GTCC)*d(GGACCAGG) Octamer**

calculation		exptl ²⁹		assignment ^a
abs	VCD	abs	VCD	
1735	1736(-)	1691	1697(+)/1675(-)	$\nu(\text{C}=\text{O}2)$ T, $\nu(\text{C}=\text{O}6)$ G
1717	1723(-)/1712(+)	1667	1668(+)/1662(-)	$\nu(\text{C}=\text{O}2)$ T, $\nu(\text{C}=\text{O}6)$ G, $\nu(\text{C}=\text{O}2)$ C
1686	1686(-)	1667	1668(+)/1662(-)	$\nu(\text{C}=\text{O}6)$ G, $\nu(\text{C}=\text{O}2)$ C, $\nu(\text{C}=\text{O}4)$ T
1670	1670(+)	1667	1668(+)/1662(-)	$\nu(\text{C}=\text{O}6)$ G, $\nu(\text{C}=\text{O}2)$ C, $\nu(\text{C}=\text{O}4)$ T
1650		1667	1668(+)/1662(-)	$\nu(\text{C}=\text{N})$ ring, $\delta(\text{C}-\text{H})$ C, T
1630	1633(+)/1627(-)	1623	1643(+)/1619(-)	$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ A
1611	1611(+)			$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ A
1587	1588(+)	1587	1599(+)/1588(-)	$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ G, A
1580	1580(-)	1569s		$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ G
				$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ G
1551	1551(-)			$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ G
1525		1532		$\nu(\text{C}=\text{N})$ ring, $\nu(\text{C}-\text{ND}_2)$, $\delta(\text{C}-\text{H})$ G, C
1496	1498(+)/1494(-)	1506	1510(+)	$\nu(\text{C}=\text{N})$ ring, $\delta(\text{C}-\text{H})$ C
1118	1125(-)/1116(+)	1118s		sugar def.
1092	1091(+)	1089	1091(-)/1081(+)	$\nu(\text{PO}_2^-)$, <i>symm.</i> , sugar
1055	1060(-)/1052(+)			$\nu(\text{C}-\text{O})$, O-D bend, sugar
1042	1042(+)	1056s	1060(+)	$\nu(\text{C}-\text{O})$, O-D bend, sugar
1018		1016		O-D bend, sugar
942		969		O-D bend, sugar
915		914		mostly sugar def.

^a Notation is same as in Table 2.

to DNA. For example, the spectral changes induced by *cis*-Pt²⁺ in the present work resemble those obtained by Cu²⁺ binding.⁵⁶ Although the electrostatic and electronic effects in this case are comparable, the relatively accurate modulation of the vibrational frequencies by an external electrostatic field reminds previous successes of the combined quantum-classical solvent models, where, for peptides, the solvent frequency shifts could be reproduced quantitatively.⁶⁰⁻⁶³

The band shape changes predicted in Figure 4 can also be reproduced with the BPW91 functional (not shown). Typically, the BPW91 method provides a uniform shift of the frequencies toward lower wavenumbers if compared to B3LYP. Similarly, the implicit CPCM water model lowers the vibrational frequencies of the polar groups if compared to a vacuum computation

but does not change the normal mode ordering. We thus use the computationally more feasible BPW91 method for simulation of the larger DNA fragments.

Changes in the DNA Fragment Spectra Caused by *cis*-Platin Binding. As documented in Figure 5, different fragments are differently sensitive to the metalation and exhibit different changes in IR and VCD spectra. Spectra of the fourth fragment where the platinum is bound are plotted separately in Figure 6. The spectra of the first CC*GG (Figure 5) and fourth GG*CC (Figure 6) free fragments illustrate well various roles of the inter-pair coupling: the IR absorptions are almost identical, while the VCD shapes differ more notably. Also, fragments 2 CT*GA and 6 TC*AG have the same base content but opposite 5'-3' base order; here, the absorptions differ more, and the VCD

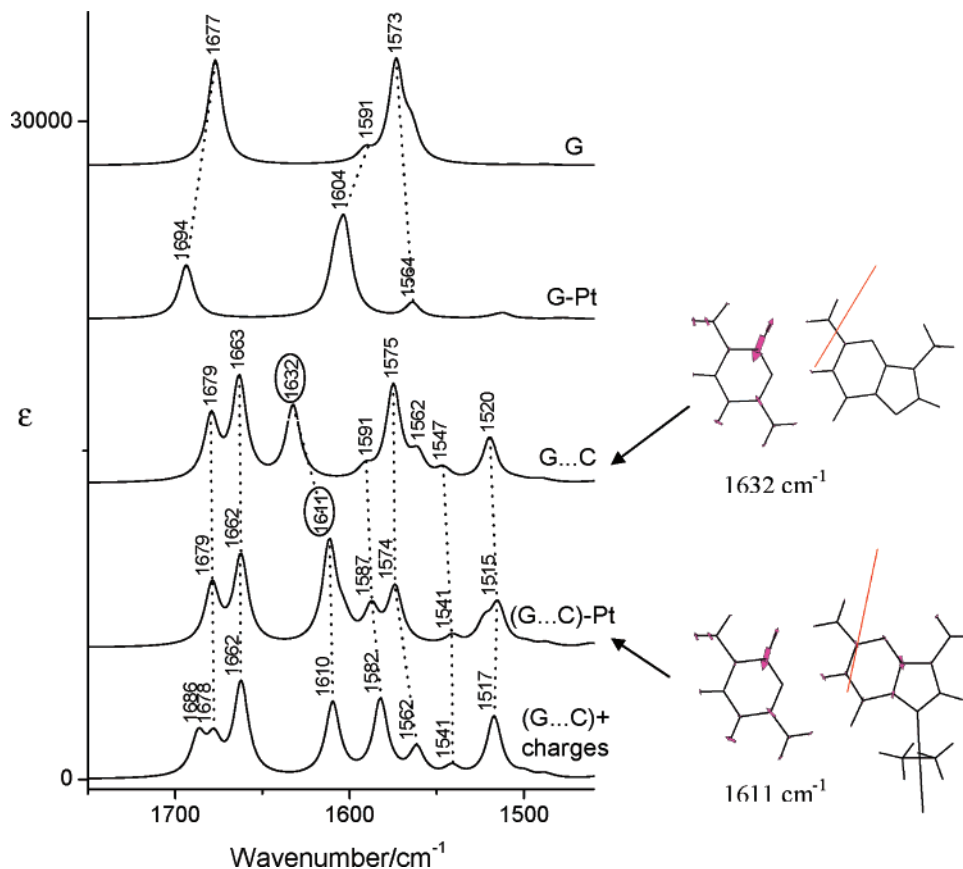


Figure 4. Comparison of IR absorption spectra of guanine (G) and its *cis*-Pt complex (G-Pt), GC base pair and its *cis*-Pt complex ((G...C)-Pt), and GC base pair with the Pt²⁺ and Cl⁻ ions replaced by corresponding charges (GC + charges). The spectra were simulated at the B3LYP/CPCM/6-31+G** level. The C=O₂ cytosine normal mode displacement for free and platinated GC base pairs corresponding to the absorption band with circled wavenumbers can be seen on the right side; the red lines represent the transition electric dipole moment.

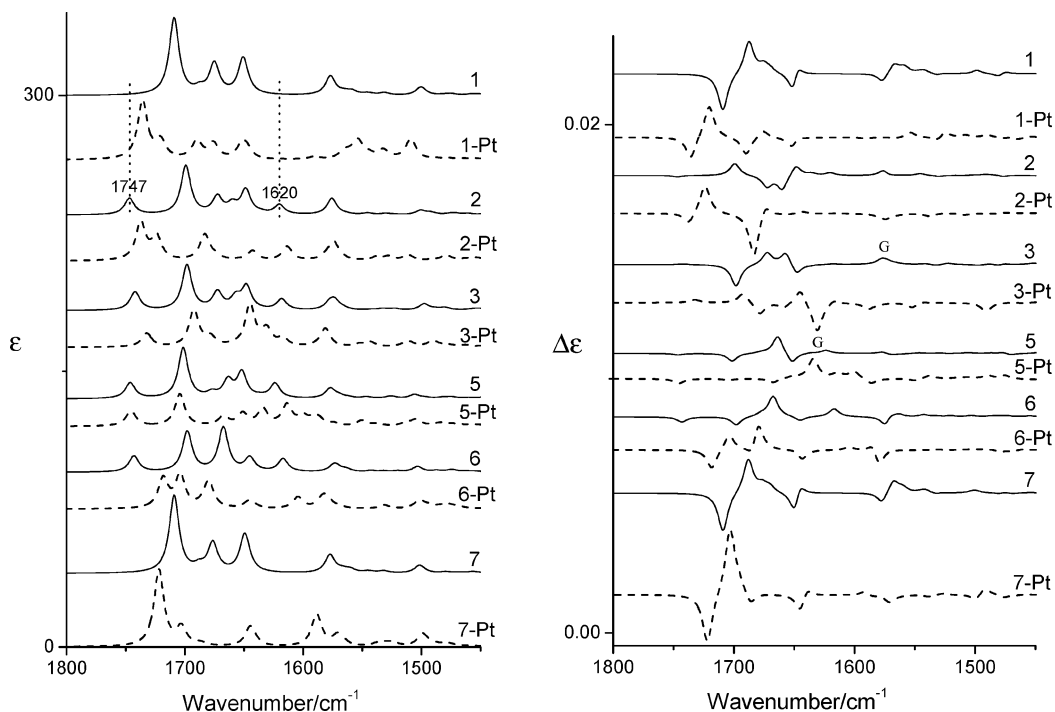


Figure 5. Calculated (BPW91/6-31G**) IR absorption (left) and VCD (right) spectra of fragments 1 (CC*GG), 2 (CT*GA), 3 (TG*AC), 5 (GT*CA), 6 (TC*AG), and 7 (CC*GG) from the non-platinated (solid line) and platinated (dashed line) octamer.

spectra even exhibit opposite signs for many bands. Coupling differences in the 3 TG*AC and 5 GT*CA isomers cause moderate changes both in absorption and in VCD.

Interestingly, other isomers, the 2 CT*GA and 3 TG*AC fragments, where the bases are permuted across the Watson-Crick pairs, provide essentially identical absorption spectra and

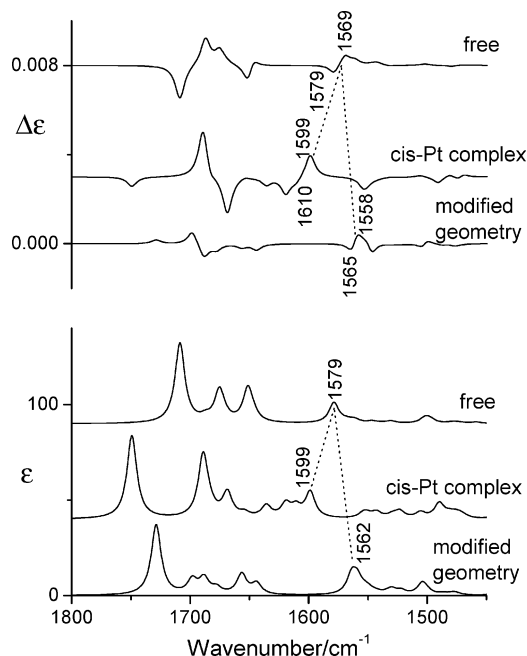


Figure 6. Calculated (BPW91/6-31G**) IR absorption (ϵ) and VCD ($\Delta\epsilon$) spectra of fragment 4 for the free, platinated, and distorted-only octamers.

almost mirror-image VCD spectra for the carbonyl vibrations above 1630 cm^{-1} . An analogous pair of fragments, 5 GT*CA and 6 TC*AG, however, provides different absorption and similar VCD.

The influence of the base composition is more predictable than that of the geometry isomerization. In comparison to the absorption of fragment 1 CC*GG, for example, the spectra of 2 (CT*GA) exhibit additional bands at 1747 cm^{-1} (C=O2(T)) and 1620 cm^{-1} (ring of A). These bands are present in all the other fragments containing adenine and thymine. Thus, the IR technique can, in principle, provide quantitative information about the base content in the nucleic acid sequence.

The binding of *cis*-Pt to the two neighboring guanines in the same strand induces significant distortion of the octamer geometry (cf. Figure 3). The base stacking is perturbed, and different coupling of the vibrations results in numerous spectral changes. For fragments 1, 2, 6, and 7 not bound to *cis*-Pt, all spectral changes come solely from alterations of the geometry. The alteration causes a very instructive change of the IR absorption spectra of fragments 1 and 7: the carbonyl vibrations at around 1700 cm^{-1} are shifted to higher wavenumbers due to the perturbation of the hydrogen-bonding pattern in the CG base pairs (Figure 5). The VCD sign pattern, however, is conserved, which corresponds to a partial preservation of the original regular octamer geometry of the terminal residues upon metal binding. An opposite change is exhibited by fragments 2 and 6, where the highest wavenumber absorption of the C=O2 (T) group not involved in the Watson–Crick hydrogen-bonding shifts down. Absorption bands of all other carbonyls involved in hydrogen bonding are shifted to the higher wavenumbers, similar to fragments 1 and 7. Corresponding VCD bands shift accordingly (i.e., the C=O2 (T) vibrations acquire lower stretching frequencies, and C=O6(G), C=O4(T), and C=O2-(C) acquire higher stretching frequencies). Both IR and (negative) VCD intensities associated with the C=O2 (T) stretching seem to increase due to distortion.

Interestingly, the carbonyl absorption bands of fragments 3 and 5 directly chelated to *cis*-Pt exhibit the smallest shifts. However, the guanine ring vibrations move more toward higher

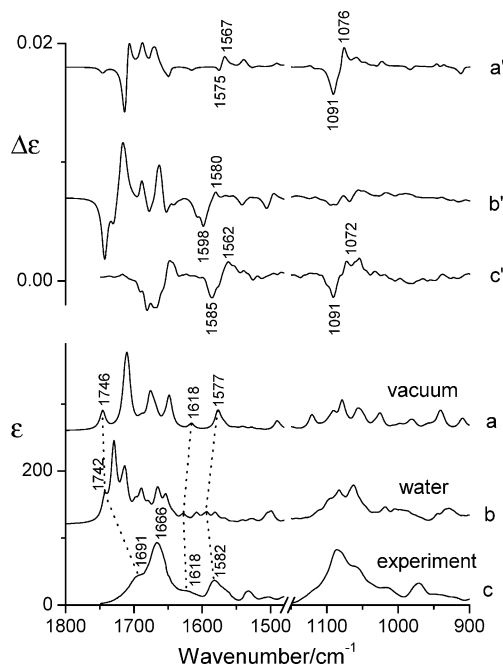


Figure 7. IR (ϵ) and VCD ($\Delta\epsilon$) of the DNA octamer spectra: comparison of the vacuum simulation (a and a'), model with explicit water molecules (b and b'), and experimental²⁹ d(CCTGGTCC)*d(GGACCAGG) spectra (c and c').

wavenumbers (by up to 50 cm^{-1} for fragment 3), and the adenine ring vibrations shift down. The G ring absorption shift of fragment 3 (and also less extensively of fragment 5) is accompanied by a positive to negative flip and an intensity increase of the corresponding VCD band. Thus, the high sensitivity of the VCD signal to the geometry changes can be nicely documented.

Finally, in Figure 6, we investigate the changes of the spectra of fragment 4 directly bound to the Pt^{2+} ion. The metal ion induces significant mixing of the carbonyl and ring vibrations of G and C. In the metalated fragment, these delocalized vibrations are spread between 1750 and 1600 cm^{-1} in both absorption and VCD. The G ring absorption at 1579 cm^{-1} shifts to 1599 cm^{-1} and is followed by a corresponding VCD couplet ($1579(-)/1569(+)$ \rightarrow $1610(-)/1599(+)$ cm^{-1}), which additionally increases in intensity. Similar spectral changes of the G ring vibrations have been observed experimentally upon Cu^{2+} binding to guanine.⁵⁶

Spectral changes caused exclusively by the DNA geometry change can also be seen in Figure 6 on the simulated IR and VCD spectra of the distorted fragment 4 without metal. The spectral alterations are less extensive than in the presence of bound platinum cation. The G ring mode of the free fragment (1579 cm^{-1}) actually shifts to the opposite direction under the distortion (1562 cm^{-1}), and its weak VCD couplet changes to a W-shaped signal. In the higher frequency region ($>1600\text{ cm}^{-1}$), however, the geometry modification significantly contributes to the total spectral changes under the full metalation. Interestingly, the modified geometry VCD couplet at $\sim 1700\text{ cm}^{-1}$ conserves sign but becomes much stronger when the platinum is actually bound. The coupling between the carbonyl vibrations is thus significantly destroyed by geometrical modifications. The VCD intensity decreases and is enhanced again by the presence of the metal ion.

Octamer Vibrations and Experimental Peak Assignments.

First, in Figure 7, we can estimate the effect of the first hydration shell on the spectra. The wavenumber region of about 1150 –

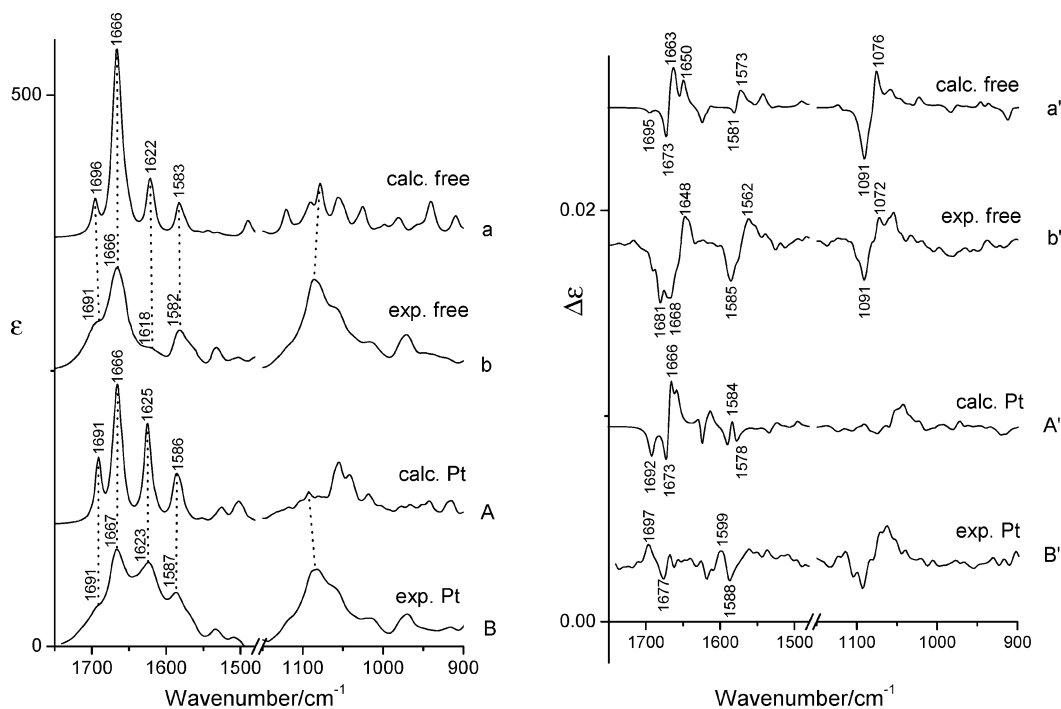


Figure 8. Calculated (a and a' and A and A', with the scaled BPW91/6-31G** fragment force field) and experimental²⁹ (b and b' and B and B') IR absorption (left) and VCD (right) spectra of the free (a and a' and b and b') and platinated (A and A' and B and B') octamers.

1450 cm^{-1} is not plotted because of the interference of the D_2O absorption. Otherwise, in the experimental spectra (traces c and c' in Figure 7), we can distinguish the sugar-phosphate (900–1150 cm^{-1}) signal and the higher frequency 1450–1800 cm^{-1} region dominated by the vibrations of the bases. Typically, the C=O stretching contributes most to the strongest IR signal within ~ 1650 –1750 cm^{-1} .^{29,36,39,40}

The carbonyl vibrations are also the most sensitive to the hydration. For an isolated carbonyl group or in peptidic systems, the hydrogen binding to water molecules shifts down the C=O stretching vibration by tens of centimeters⁻¹.^{60,61,64} However, the DNA geometry significantly prevents access of the solvent to the base carbonyl groups.^{39,40} Moreover, the Watson-Crick hydrogen bonds are as strong as those to the solvent and thus comparably affect the carbonyl vibrations. Thus, the aqueous environment (D_2O) causes mostly a redistribution of vibrational mode intensities but only minor frequency changes. For example, the highest energy absorption band in the calculated spectra (assigned to C=O2 T (Table 2)) is shifted from 1746 to 1742 cm^{-1} , and the corresponding negative VCD band gains intensity.

The other carbonyl bands (C=O6 of G, C=O2 of C, and C=O4 of T; see Table 2 for detailed assignments) are widespread in both vacuum (1710–1648 cm^{-1}) and water (1729–1654 cm^{-1}) calculations. The calculated wavenumber span appears overestimated if compared to the width of the experimental signal centered at 1666 cm^{-1} . The three carbonyls thus appear to be in a very similar environment and vibrate at a similar frequency. The inclusion of the hydration layer in the modeling reproduces the intensity built up in a narrower range only partially. Presumably, other factors currently inaccessible by the modeling, such as the dynamics and structural fluctuations, must be taken into account for a better model. In any case, the experimental peak overlap hinders a band-to-band comparison. The VCD signal is somewhat more resolved with respect to individual vibrations, and the basic sign pattern is reproduced both by the vacuum and by the hydrated model; however, a detailed structure explanation is still incomplete. The

difficulty to reproduce the fine splitting of the carbonyl vibrations was observed also in previous attempts to simulate the DNA and RNA spectra on the basis of quantum chemical methods.^{39,40}

On the other hand, other vibrations approximately below 1630 cm^{-1} are resolved more and can be assigned more clearly; for example, in the adenine ring deformation (1618 cm^{-1}), where the experimental wavenumber is reproduced very accurately by the simulation or the guanine ring mode (simulated at 1577, observed at 1582 cm^{-1}). The effect of hydration on these modes is relatively minor due to experimentally low accessibility by water molecules, and a systematic improvement cannot be observed for frequencies or for intensities. For the sugar-phosphate backbone fairly accessible by solvent, the water almost destroys the vacuum VCD couplet around 1090 cm^{-1} , also visible in the experiment, but the absorption profile in this region is improved after hydration. The present work also simulates the spectra in the 900–1150 cm^{-1} region much more faithfully than previous studies³⁶ that did not use the normal mode optimization technique.^{42,48} We explain this by the good relaxation of the shallow potential vibrations of the sugar-phosphate backbone that can be achieved by normal modes. In the older approach, torsion angle constraints used to mimic the desired DNA geometry prevented the higher frequency normal mode relaxation, and the IR and VCD spectra were less realistic.

Because of the accuracy limitations of the calculated frequencies, we scaled the fragment force fields according to the normal mode assignments detailed in Tables 2 and 3 and used the field in the tensor transfer and construction of the octamer normal mode vibrations. The IR and VCD spectra simulated in this way are compared to the experiment for the free and platinated octamers in Figure 8. Clearly, although the scaling does not lead to a perfect agreement, it makes the visual comparison much easier (cf. Figure 7). Moreover, spectral changes caused by complexation are more apparent. For example, for the experimental absorption spectra (left side in Figure 8), the metalation causes an intensity increase of the 1618 cm^{-1} adenine ring peak in the free octamer, and its wavenumber shifts to 1623

cm^{-1} . This can be reproduced as the calculated signal at 1622 cm^{-1} of the free octamer changes to a more intense 1625 cm^{-1} band of the platinated one. Also, the intensity increase and the modest high-wavenumber shift of the guanine ring absorption (experimental $1582 \rightarrow 1587$, calculated $1583 \rightarrow 1586\text{ cm}^{-1}$) are captured by the modeling. Previously, the intensity increase of the adenine band was explained by a more intense interaction of two adenine bases in the sequence caused by a significant distortion of the octamer upon platination.²⁹ However, similar alterations of the adenine ring absorptions were also observed upon breaking of the hydrogen bonds with a complementary base.^{65,66} The spectral changes of the guanine ring band upon the platination obtained from the model calculations for a single guanine base and a single GC base pair and are in agreement with the previous assignments.⁵⁶

Other absorption intensities do not change much in experiment nor in simulation, or the change might be obscured by a band overlap. A very minor change in the sugar–phosphate vibration region ($900\text{--}1150\text{ cm}^{-1}$) suggests that this part of the DNA molecule participates in the *cis*-Pt binding rather indirectly, which is in agreement with other studies.

The VCD changes are more complex. Experimentally, a negative signal at 1681 cm^{-1} of the free octamer shifts to 1677 cm^{-1} , and a new positive band at 1697 cm^{-1} appears. These variations in the carbonyl VCD signal are not reproduced adequately by our model. However, the experimental flip of the guanine VCD couplet at $1585(-)/1562(+)$ to $1599(+)/1588(-)\text{ cm}^{-1}$ is reproduced by the simulations much more accurately ($1581(-)/1573(+)$ changes to $1584(+)/1578(-)$). We attribute the worst performance of the VCD simulations for the carbonyl vibrations and the better agreement for the ring modes to a higher polarity of the carbonyls and also to their volatility to dynamical effects, currently inaccessible to high-precision quantum simulations. Additionally, the experimental geometry obtained with the aid of NMR parameters²⁷ might not be perfect for the simulations of the VCD spectra so sensitive to molecular conformation.

Conclusion

The combination of the *ab initio* (DFT) computation of the spectral parameters with the Cartesian tensor transfer techniques enabled us to assign all the observable spectral features to DNA vibrations and to validate the previously proposed platinum binding site (N7 of G). The simulated changes in the IR and VCD spectra are consistent with the NMR proposition of the DNA–*cis*-Pt adduct geometry, except for the detailed carbonyl VCD pattern. The significant modification in the IR and VCD spectra observed experimentally²⁹ could be readily attributed to the complex formation. Major changes characteristic for the complexation are visible in the higher frequency region ($1500\text{--}1700\text{ cm}^{-1}$). Particularly, the high wavenumber shifts and intensity increases of the adenine and guanine ring absorption bands were reproduced by the modeling. The analytically important guanine band shift stems from an electron density redistribution induced by the metal ion and is not dependent on nonlocal DNA geometrical distortions. This confirms previous suggestions based on the experimental studies. Inclusion of the explicit hydration shell around the octamer slightly improved the computed frequencies; however, it could not account for all discrepancies and occasionally even introduced new ones. Therefore, scaling of the vacuum force fields appeared to be a better instrument for improvement of the agreement with the experiment and assignment of the vibrations.

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